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## First report of chronic portal vein thrombosis successfully managed with splenectomy and long-term direct oral anticoagulants

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#### ABSTRACT

We report a rare case of portal vein thrombosis (PVT) secondary to idiopathic hypercoagulability leading to non-cirrhotic portal hypertension and cavernous transformation. The patient had a history of acute PVT and superior mesenteric vein thrombosis, which was initially managed successfully with anticoagulation therapy. However, the discontinuation of treatment precipitated a transition to chronic PVT and subsequent cavernous transformation. This condition manifested clinically as esophageal and gastric varices, posing a significant bleeding risk. Attempts to mitigate portal hypertension through medical management and endoscopic interventions had limited success. The anatomical complexities presented an insurmountable challenge to transjugular intrahepatic portosystemic shunt (TIPS) placement, and thus alternative treatment strategies were considered. A splenectomy markedly improved the patient's condition. Over a 2-year follow-up period, with the aid of direct oral anticoagulants (DOACs), the patient remained stable; further endoscopic procedures were not required, and the patient did not experience a recurrence of thromboembolic or hemorrhagic events. This case underscores the complexity of PVT management and highlights the need for individualized treatment approaches in the face of anatomical and therapeutic challenges.

Key words: non-cirrhotic portal hypertension, portal vein thrombosis, splenectomy, direct oral anticoagulants

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## INTRODUCTION

Chronic extrahepatic portal vein obstruction is a vascular liver disorder characterized by the blockage and cavernomatous transformation of the portal vein that can affect the intrahepatic portal vein, splenic vein, or superior mesenteric vein. Portal vein thrombosis is an uncommon condition in individuals without liver disease<sup>1,2</sup>. The causes can be related to primary and secondary hypercoagulable states. Acute treatment involves anticoagulation and addressing the underlying hypercoagulability<sup>3</sup>. If portal vein thrombosis progresses to a chronic condition, complications of portal hypertension, including variceal bleeding, can arise. The management of these complications is similar to that of cirrhotic portal hypertension, including pharmacotherapy and endoscopic intervention<sup>3</sup>. However, endoscopic treatment has various limitations, particularly for gastric varices<sup>4</sup>. Transjugular intrahepatic portosystemic shunt (TIPS) is a suitable option, but anatomical variations can make it challenging<sup>5</sup>. We present a case of a patient with non-cirrhotic portal hypertension with chronic portal vein thrombosis treated with splenectomy and long-term anticoagulation, demonstrating the efficacy and safety of the treatment over a 2-year follow-up period.

#### **CLINICAL CASE INFORMATION**

A 46-year-old female patient had a history of acute superior mesenteric and portal vein thrombosis successfully treated with medical therapy at age 44 (i.e., in 2019). During outpatient follow-up, the patient was prescribed long-term vitamin K antagonists (VKAs) for 3-6 months and was scheduled for a re-evaluation of the thrombotic condition and underlying hypercoagulability. However, after 3 months of treatment, the patient, having no symptomatic recurrence, chose to discontinue anticoagulation therapy and did not return for further follow-up until May 2021 when she was admitted to the hospital with epigastric pain. During this hospitalization, the patient underwent gastroduodenal endoscopy, revealing multiple gastric ulcers accompanied by grade III esophageal and gastric varices with red signs (Figure 1 A series). The gastric appearance suggested portal hypertensionrelated gastropathy, and the ulcers were considered the cause of the gastric pain. A subsequent contrast-

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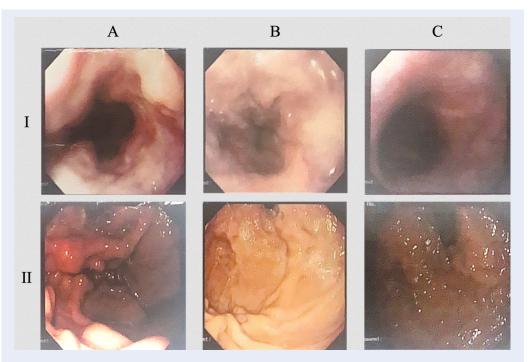
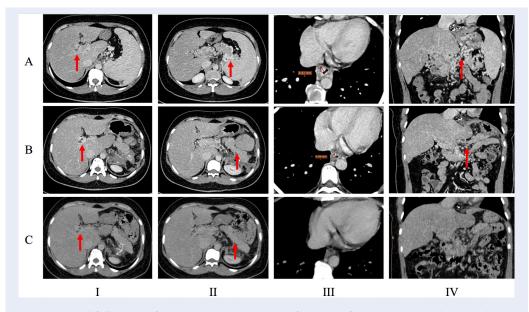


Figure 1: Serial follow-ups of gastrointestinal endoscopy. Esophagel (I) and gastric (II) varices at before (A), 1-month (B) and 1-year after spenectomy.



**Figure 2: Serial follow-ups of CT scan**. The cavernous transformation of the portal vein (I) shows no change from before (A), 1-month (B) and 1-year after splenectomy while there is a significant reduction in pancreatic venous flow post-splenectomy (IIB, IIC). Esophageal and gastric varices decrease drastically after spleen removal and almost disappear after 1 year (III-IVB and III-IVC, respectively).

	Results	Reference range	
ANA	0.54	S/Co < 0.8	
Anti ds-DNA	9.58	< 25 IU/mL	
C3	114.5	80-170 mg/dL	
C4	32.3	15-45 mg/dL	
Lupus anticoagulant screen	Negative	Negative	
Lupus anticoagulant confirm	1.11	0.80-1.19 IU/mL	
Anti beta 2 glycoprotein	2.9	< 7 IU/mL	
Anti cardiolipin	0.72	< 12 MPL/mL	
Protein C	82	70-140%	
Protein	93	55-124%	
Antithrombin III	79	83-128%	
Platelet count before splenectomy	204	150-400 G/L	
Platelet count after splenectomy	854		

Table 1: Screening test for thrombophilia

#### Table 2: Timeline of diagnosis and treatment

Timeline	April 2019	May 2021	March 2022	August 2023
Diagnosis	Acute throm- boembolism at portal and supe- rior mesenteric veins	<ul> <li>Total chronic portal vein thrombosis with carvernous transformation</li> <li>Partial chronic superior mesenteric vein</li> <li>Severe easophageal and gastric varices</li> </ul>	<ul> <li>Total chronic portal vein thrombosis with carvernous transfor- mation</li> <li>Partial chronic supe- rior mesenteric vein</li> <li>Easophageal and gastric varices at risk of rupture</li> </ul>	vein thrombosis with carvernous transforma- tion - Easophageal and gastric varices without rupture risk
Treatment	Heparin and VKA	- Carvedilol, ISMN - Prophylatic ligation and slerotherapy via endoscopy - DOACs	- Splenectomy - Carvedilol - DOACs	- Carvedilol - DOACs
Notes	Cancelled checkup af- ter 3 months follow-up	Refractory varices despite repetitive endoscopic inter- vention	<ul> <li>Early resolution of varices</li> <li>No more endoscopic intervention</li> </ul>	6-month to 1-year en- doscopic follow-up

enhanced computerized tomography (CT) scan identified chronic thrombosis and cavernomatous transformation of the portal vein extending to intrahepatic distal branches and other splanchnic veins, along with esophageal and gastric varices (**Figure 2** A series). Partial thrombosis was noted in the superior mesenteric vein.

The treatment strategy was discussed during the first multidisciplinary consultation, which involved gastroenterologists, cardiologists, radiologists, interventionists, and surgeons. TIPS was deemed unfeasible because of the altered anatomy of the portal and hepatic veins. The medical board opted for the prophylaxis of portal hypertension-related bleeding, including medical management to reduce portal pressure and a combination of endoscopic variceal band ligation and sclerotherapy of gastric varices. The patient was subsequently treated with non-selective betablockers, isosorbide mononitrate (ISMN), and gastrointestinal endoscopic intervention. The maximum tolerated doses of carvedilol and ISMN were achieved. Furthermore, the patient received VKAs and was transitioned to DOACs after excluding antiphospholipid syndrome-associated hypercoagulability. The patient was screened for inherited thrombophilia and malignant causes; however, the tests yielded negative results, suggesting idiopathic etiology (**Table 1**). After 3 months of follow-up, a repeat endoscopy re-

vealed slightly improved esophageal varices but worsening gastric varices, prompting prophylactic intervention. Despite repeated ligation and sclerotherapy, two subsequent 3-month endoscopic assessments did not show adequate improvement. A second multidisciplinary consultation led to the decision for splenectomy after carefully assessing portal venous circulation via CT and preoperative liver function, which was within the normal range. The patient underwent endoscopic splenectomy with low-molecular-weight heparin (LMWH) bridging for anticoagulation. The procedure proceeded smoothly, without bleeding or thromboembolic complications. Postoperatively, the patient was switched to DOACs and discharged after 5 days. Portal pressure-lowering medications were continued. Postoperatively, gastrointestinal endoscopy and CT scans showed significant improvement in esophageal and gastric varices (Figure 1 B series, Figure 2 B series).

The patient was followed for 2 years and remained on medical treatment, including DOACs and carvedilol. Repeated 3-month endoscopic assessments showed sustained improvement and stability characterized by the significant regression of varices, and no highrisk features were observed. Remarkably, the gastric varices almost completely disappeared (Figure 2 C series). A follow-up CT scan after 2 years also indicated drastically improved gastric and esophageal varices. No changes in portal vein morphology were observed, but no mesenteric thrombosis was detected (Figure 2 C series). Notably, the patient did not experience any incidents of thromboembolism or hemorrhaging. The follow-up D-dimer test showed no elevation. The comprehensive diagnostic and treatment details are presented in Table 2.

#### DISCUSSION

Diagnosis of portal vein thrombosis often relies on Doppler ultrasound or contrast-enhanced CT/magnetic resonance imaging (MRI). Typical imaging features include absent or reduced blood flow into the portal vein, which is replaced by porto-portal collateral vessels in the perihepatic region because of portal venous system shunting; this is referred to as cavernomatous transformation in the absence of recanalization. This transformation may compress the bile duct, leading to biliary stasis. In patients without cirrhosis, liver function is typically normal or mildly impaired, contrasting with the degree of portal hypertension<sup>6</sup>. Elevated portal venous pressure results in collateral circulation in which gastric varices are mostly seen concomitantly esophageal varices are observed in approximately 40% of the patients in portal vein thrombosis. Portal gastropathy is also a rare feature of this condition<sup>7</sup>. Chronic portal vein thrombosis might not exhibit prominent clinical symptoms compared with acute cases until complications arise, notably gastrointestinal bleeding from ruptured esophageal or gastric varices<sup>6</sup>.

In our case, medical treatment of non-cirrhotic portal hypertension included non-selective beta-blockers and ISMN to reduce portal pressure. However, the efficacy of these drugs in patients without cirrhosis is not proven<sup>7</sup>. Similarly, imaging-guided interventions for portal vein recanalization can be performed but present challenges for interventionists<sup>4</sup>. Additionally, because of the longer life expectancy of patients without cirrhosis, repeated endoscopic interventions may become a burden. TIPS remains an ideal choice for portal pressure reduction, especially when hepatic encephalopathy is not a concern (e.g., in patients without cirrhosis)<sup>3</sup>. Technical demands pose significant limitations for TIPS because of altered portal venous anatomy in transposed portal veins, complicating shunt placement<sup>5</sup>.

The patient was unresponsive to medical treatment, and prophylactic endoscopic intervention and was deemed unsuitable for TIPS. Consequently, we contemplated an unconventional splenectomy approach because of resource limitations precluding liver transplantation. Notably, significant splenic vein drainage into the gastric veins prompted our expectation that reducing this venous flow could substantially ameliorate variceal conditions, leading to improved esophageal variceal control after the splenectomy. Another potential mechanism for post-splenectomy portal pressure reduction was ET-1 and NO reduction<sup>8</sup>. The risk of perioperative thromboembolism was also considered, and heparin was chosen for bridging to DOACs<sup>9,10</sup>. Our expectations were closely aligned with the clinical and subclinical improvements observed in the patient. Of note, the complication of portal cavernoma cholangiopathy did not occur after 2 years of follow-up, suggesting that this is also a benefit of splenectomy.

Indications for splenectomy in non-cirrhotic portal hypertension are not clearly established<sup>11</sup>. Spleen removal for cirrhotic portal hypertension has been described in several cases; the efficacy of this approach has been reported as a 25% reduction in the hepatic venous pressure gradient and a 21% reduction in portal vascular resistance. Laparoscopic splenectomy is safe in patients with cirrhosis patients and can be extrapolated to be safe in patients with non-cirrhotic portal hypertension with preserved liver function<sup>8</sup>. Post-splenectomy thrombocytosis and hypercoagulability have an increased risk of vascular incidents that require close observation<sup>12,13</sup>.

The treatment of coagulation abnormalities should not be overlooked, as it relates to recanalization within the first 6 months of therapy and the prevention of thrombosis elsewhere in the venous system. This was especially true in this patient, who also had thrombi in the superior mesenteric vein $^{6,14}$ . In this case, the patient's refusal of treatment and follow-up, combined with an occluded splenic vein and underlying prothrombotic disorders, may have led to the failure of recanalization during the first admission. Furthermore, long-term concurrent anticoagulation treatment might help recanalize the occluded portions of the portal vein, consequently lowering portal venous pressure, as observed in patients with cirrhosis<sup>15</sup>. Historically, VKAs have been selected, with a target international normalized ratio (INR) of 2-3. DOACs have recently gained prominence in noncirrhotic portal vein thrombosis<sup>16</sup>. They offer the advantage of not requiring monitoring and having fewer drug interactions<sup>3</sup>. The recent consensus statements recommend DOACs as the primary option in selective cases without anti-phospholipid syndrome since VKAs have more robust recommendations in this group <sup>16,17</sup>. Despite the lack of head-to-head comparisons, DOACs show similar PVT recanalization rates to LMWH and VKAs in the early phase, without an increased bleeding risk 14.

## CONCLUSIONS

In summary, this report present the first documented case in Vietnam in which multimodal management was employed. Over 2 years, our patient with chronic portal vein thrombosis and associated portal hypertension-related complications yielded stable and successful outcomes. This case is particularly noteworthy in that it demonstrates the effectiveness of laparoscopic splenectomy in cases where anatomical considerations render other options, such as TIPS placement and liver transplantation, are not viable. Furthermore, it is imperative to emphasize the importance of DOAC therapy in the management of idiopathic hypercoagulability, as it serves as a pivotal preventive measure against thromboembolic events.

#### ABBREVIATIONS

TIPS - Transjugular Intrahepatic Portosystemic Shunt, DOACs - Direct Oral Anticoagulants, VKAs - Vitamin K Antagonists, ISMN: Isosorbide Mononitrate, LMWH: Low Molecular Weight Heparin, CT: Computerized Tomography, INR: International Normalized Ratio, ET-1: Endothelin-1, NO: Nitric Oxide.

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None.

## **AUTHOR'S CONTRIBUTIONS**

- Tien Manh Huynh: Data curation, Formal analysis, Writing-review & editing and Approval of final manuscript.

- Sang Thanh Nguyen: Data curation, Resources and Approval of final manuscript.

 Ngoc Thanh Lam: Data curation, Formal analysis, Supervision, Visualization and Approval of final manuscript.

- An Le Pham: Supervision and Approval of final manuscript.

- Si Van Nguyen: Conceptualization, Formal analysis, Investigation, Project administration, Visualization, Writing-original draft, Writing-review & editing and Approval of final manuscript.

All authors read and approved the final manuscript.

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# AVAILABILITY OF DATA AND MATERIALS

The datasets used during the current study are available from the corresponding author upon reasonable request.

## ETHICS APPROVAL AND CONSENT TO PARTICIPATE

This study was performed in accordance with the Declaration of Helsinki. The patient gave informed consent, and the patient's anonymity was preserved.

## **CONSENT FOR PUBLICATION**

Written informed consent for publication was obtained from the patient for publication of this case report and any accompanying images. A copy of the written consent is available for review by the Editorin-Chief of this journal.

## **COMPETING INTERESTS**

The authors declare that they have no competing interests.

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